

APPLICATION  
FOR  
UNITED STATES LETTERS PATENT

SEARCHED INDEXED  
SERIALIZED FILED

TITLE: GROWTH DIFFERENTIATION FACTOR-12  
APPLICANT: SE-JIN LEE, AURORA F. ESQUELA

"EXPRESS MAIL" Mailing Label Number EM5K02140408US

Date of Deposit 10 JANUARY 1997  
I hereby certify under 37 CFR 1.10 that this correspondence is being  
deposited with the United States Postal Service as "Express Mail  
Post Office To Addressee" with sufficient postage on the date  
indicated above and is addressed to the Commissioner of Patents and  
Trademarks, Washington, D.C. 20231.

Robert Segool  
Robert Segool

-1-

## GROWTH DIFFERENTIATION FACTOR-12

*SAC* > This is a continuation-in-part application of U.S. Serial No. 08/274,215, filed on July 13, 1994, now U.S. Pat No. 5,831,054.

### BACKGROUND OF THE INVENTION

5      1. *Field of the Invention*

The invention relates generally to growth factors and specifically to a new member of the transforming growth factor beta (TGF- $\beta$ ) superfamily, which is denoted, growth differentiation factor-12 (GDF-12).

10     2. *Description of Related Art*

10     The transforming growth factor  $\beta$  (TGF- $\beta$ ) superfamily encompasses a group of structurally-related proteins which affect a wide range of differentiation processes during embryonic development. The family includes, Mullerian inhibiting substance (MIS), which is required for normal male sex development (Behringer, et al., *Nature*, 345:167, 1990), *Drosophila* decapentaplegic (DPP) gene product, which is required for dorsal-ventral axis formation and morphogenesis of the imaginal disks (Padgett, et al., *Nature*, 325:81-84, 1987), the *Xenopus* Vg-1 gene product, which localizes to the vegetal pole of eggs ((Weeks, et al., *Cell*, 51:861-867, 1987), the activins (Mason, et al., *Biochem, Biophys. Res. Commun.*, 135:957-964, 1986), which can induce the formation of mesoderm and anterior structures in *Xenopus* embryos (Thomsen, et al., *Cell*, 63:485, 1990), and the bone morphogenetic proteins (BMPs, osteogenin, OP-1) which can induce *de novo* cartilage and bone formation (Sampath, et al., *J. Biol. Chem.*, 265:13198, 1990). The TGF- $\beta$ s can influence a variety of

-2-

differentiation processes, including adipogenesis, myogenesis, chondrogenesis, hematopoiesis, and epithelial cell differentiation (for review, see Massague, *Cell* 49:437, 1987).

The proteins of the TGF- $\beta$  family are initially synthesized as a large precursor protein which subsequently undergoes proteolytic cleavage at a cluster of basic residues approximately 110-140 amino acids from the C-terminus. The C-terminal regions, or mature regions, of the proteins are all structurally related and the different family members can be classified into distinct subgroups based on the extent of their homology. Although the homologies within particular subgroups range from 70% to 90% amino acid sequence identity, the homologies between subgroups are significantly lower, generally ranging from only 20% to 50%. In each case, the active species appears to be a disulfide-linked dimer of C-terminal fragments. Studies have shown that when the pro-region of a member of the TGF- $\beta$  family is coexpressed with a mature region of another member of the TGF- $\beta$  family, intracellular dimerization and secretion of biologically active homodimers occur (Gray, A., and Maston, A., *Science*, 247:1328, 1990). Additional studies by Hammonds, et al., (*Molec. Endocrin.* 5:149, 1991) showed that the use of the BMP-2 pro-region combined with the BMP-4 mature region led to dramatically improved expression of mature BMP-4. For most of the family members that have been studied, the homodimeric species has been found to be biologically active, but for other family members, like the inhibins (Ling, et al., *Nature*, 321:779, 1986) and the TGF- $\beta$ s (Cheifetz, et al., *Cell*, 48:409, 1987), heterodimers have also been detected, and these appear to have different biological properties than the respective homodimers.

Identification of new factors that are tissue-specific in their expression pattern will provide a greater understanding of that tissue's development and function.

-3-

### SUMMARY OF THE INVENTION

The present invention provides a cell growth and differentiation factor, GDF-12, a polynucleotide sequence which encodes the factor, and antibodies which are immunoreactive with the factor. This factor appears to relate to various 5 cell proliferative disorders, especially those involving liver cells.

Thus, in one embodiment, the invention provides a method for detecting a cell proliferative disorder of liver origin and which is associated with GDF-12. In another embodiment, the invention provides a method for treating a cell proliferative disorder by suppressing or enhancing GDF-12 activity.

SEARCHED  
SERIALIZED  
INDEXED  
FILED

-4-

### BRIEF DESCRIPTION OF THE DRAWINGS

FIGURE 1 shows a Northern blot of RNA prepared from adult tissues probed with a murine GDF-12 probe.

5 FIGURE 2 shows the partial nucleotide and predicted amino acid sequence of human GDF-12.

FIGURE 3 shows the full length nucleotide and predicted amino acid sequence of human GDF-12.

10 FIGURE 4 shows amino acid sequence homologies between human GDF-12 and different members of the TGF- $\beta$  superfamily. Numbers represent amino acid sequence identities between GDF-12 and the indicated family member calculated from the first conserved cysteine to the C-terminus.

DETAILED DESCRIPTION

## DETAILED DESCRIPTION OF THE INVENTION

The present invention provides a growth and differentiation factor, GDF-12 and a polynucleotide sequence encoding GDF-12. GDF-12 is expressed specifically in liver. In one embodiment, the invention provides a method for detection of a cell proliferative disorder of liver origin which is associated with GDF-12 expression. In another embodiment, the invention provides a method for treating a cell proliferative disorder by using an agent which suppresses or enhances GDF-12 activity.

The TGF- $\beta$  superfamily consists of multifunctional polypeptides that control proliferation, differentiation, and other functions in many cell types. Many of the peptides have regulatory, both positive and negative, effects on other peptide growth factors. The structural homology between the GDF-12 protein of this invention and the members of the TGF- $\beta$  family, indicates that GDF-12 is a new member of the family of growth and differentiation factors. Based on the known activities of many of the other members, it can be expected that GDF-12 will also possess biological activities that will make it useful as a diagnostic and therapeutic reagent.

In particular, the expression pattern of GDF-12 suggests that GDF-12 possesses activities that will make it useful for the treatment of various diseases involving the liver. For example, when GDF-12 functions to stimulate the growth or differentiation of liver cells, GDF-12 could be used for the treatment of disease states in which the function of the liver is compromised, such as in hepatitis or cirrhosis. Although liver tissue has the capacity to regenerate, GDF-12 could potentially accelerate the normal regenerative process or promote the process in disease states in which the regenerative process is suppressed. Similarly, GDF-12 could be useful for maintaining liver cells or tissue in culture prior to transplantation or for stimulating the growth of liver cells following transplantation; in this regard, because liver cells may be used as a vehicle for delivering genes to liver for gene therapy, GDF-12

652240-0001-0000

-6-

could be useful for maintaining or expanding liver cells in culture during or after the introduction of particular genes or for stimulating the growth of these cells following transplantation.

Alternatively, when GDF-12 functions as a growth inhibitor, GDF-12 could be  
5 used to create cell proliferative disorders involving liver cells, such as hepatocellular carcinoma. Indeed, one member of this superfamily, namely, inhibin alpha, has been shown to function as a tumor suppressor gene, and another member of this superfamily, namely, Mullerian inhibiting substance, has been shown to be capable of inhibiting the growth of tumor cells both *in*  
10 *vitro* and *in vivo*.

This high specificity of GDF-12 expression also suggests potential applications of GDF-12 as a diagnostic tool. In particular, because GDF-12 encodes a secreted factor, levels of GDF-12 could be used to monitor liver function or to detect the presence of neoplasms involving liver cells. In this regard, another  
15 member of this family, namely, inhibin, has been shown to be useful as a marker for ovarian granulosa cell tumors.

The term "substantially pure" as used herein refers to GDF-12 which is substantially free of other proteins, lipids, carbohydrates or other materials with which it is naturally associated. One skilled in the art can purify GDF-12  
20 using standard techniques for protein purification. The substantially pure polypeptide will yield a single major band on a non-reducing polyacrylamide gel. The purity of the GDF-12 polypeptide can also be determined by amino-terminal amino acid sequence analysis. GDF-12 polypeptide includes functional fragments of the polypeptide, as long as the activity of GDF-12  
25 remains. Smaller peptides containing the biological activity of GDF-12 are included in the invention.

The invention provides polynucleotides encoding the GDF-12 protein. These polynucleotides include DNA, cDNA and RNA sequences which encode GDF-

-7-

12. It is understood that all polynucleotides encoding all or a portion of GDF-  
12 are also included herein, as long as they encode a polypeptide with GDF-  
12 activity. Such polynucleotides include naturally occurring, synthetic, and  
intentionally manipulated polynucleotides. For example, GDF-12  
5 polynucleotide may be subjected to site-directed mutagenesis. The  
polynucleotide sequence for GDF-12 also includes antisense sequences. The  
polynucleotides of the invention include sequences that are degenerate as a  
result of the genetic code. There are 20 natural amino acids, most of which  
are specified by more than one codon. Therefore, all degenerate nucleotide  
10 sequences are included in the invention as long as the amino acid sequence  
of GDF-12 polypeptide encoded by the nucleotide sequence is functionally  
unchanged.

Specifically disclosed herein is a partial cDNA sequence containing the active  
portion of the human GDF-12 coding sequence. One of skill in the art could  
15 now use this partial sequence to isolate the full length clones. The cDNA  
clone from which this sequence was obtained is likely to contain the entire  
coding sequence for GDF-12. The disclosed sequence corresponds to the C-  
terminal region of the GDF-12 polypeptide. The sequence begins with a  
20 putative proteolytic cleavage site, RARRR. Cleavage of the polypeptide at this  
site would generate an active C-terminal fragment 114 amino acids in length  
with a predicted molecular weight of 12,500.

The C-terminal region of GDF-12 following the putative proteolytic processing  
site shows significant homology to the known members of the TGF- $\beta$   
superfamily. The GDF-12 sequence contains most of the residues that are  
25 highly conserved in other family members (see FIGURE 1). Like the TGF- $\beta$ s  
and inhibin  $\beta$ s, GDF-12 contains an extra pair of cysteine residues in addition  
to the 7 cysteines found in virtually all other family members. Among the  
known family members, GDF-12 is most homologous to Inhibin  $\beta$ B (50%  
sequence identity) (see FIGURE 4).

-8-

- Minor modifications of the recombinant GDF-12 primary amino acid sequence may result in proteins which have substantially equivalent activity as compared to the GDF-12 polypeptide described herein. Such modifications may be deliberate, as by site-directed mutagenesis, or may be spontaneous.
- 5 All of the polypeptides produced by these modifications are included herein as long as the biological activity of GDF-12 still exists. Further, deletion of one or more amino acids can also result in a modification of the structure of the resultant molecule without significantly altering its biological activity. This can lead to the development of a smaller active molecule which would have
- 10 broader utility. For example, one can remove amino or carboxy terminal amino acids which are not required for GDF-12 biological activity.

The nucleotide sequence encoding the GDF-12 polypeptide of the invention includes the disclosed sequence and conservative variations thereof. The term "conservative variation" as used herein denotes the replacement of an 15 amino acid residue by another, biologically similar residue. Examples of conservative variations include the substitution of one hydrophobic residue such as isoleucine, valine, leucine or methionine for another, or the substitution of one polar residue for another, such as the substitution of arginine for lysine, glutamic for aspartic acid, or glutamine for asparagine, and 20 the like. The term "conservative variation" also includes the use of a substituted amino acid in place of an unsubstituted parent amino acid provided that antibodies raised to the substituted polypeptide also immunoreact with the unsubstituted polypeptide.

The polynucleotide encoding GDF-12 includes the nucleotide sequence in FIGURES 2 and 3 (SEQ ID NO:11 and NO:13, respectively), as well as nucleic acid sequences complementary to that sequence. A complementary sequence may include an antisense nucleotide. When the sequence is RNA, 5 the deoxynucleotides A, G, C, and T of FIGURE 2 and 3 are replaced by ribonucleotides A, G, C, and U, respectively. Also included in the invention are fragments of the above-described nucleic acid sequences that are at least 15 bases in length, which is sufficient to permit the fragment to selectively hybridize to DNA that encodes the protein of FIGURES 2 and 3 (SEQ ID 10 NO:12 and NO:14, respectively) under physiological conditions.

DNA sequences of the invention can be obtained by several methods. For example, the DNA can be isolated using hybridization techniques which are well known in the art. These include, but are not limited to: 1) hybridization of genomic or cDNA libraries with probes to detect homologous nucleotide sequences, 2) polymerase chain reaction (PCR) on genomic DNA or cDNA using primers capable of annealing to the DNA sequence of interest, and 3) antibody screening of expression libraries to detect cloned DNA fragments 15 with shared structural features.

Preferably the GDF-12 polynucleotide of the invention is derived from a 20 mammalian organism, and most preferably from a mouse, rat, or human. Screening procedures which rely on nucleic acid hybridization make it possible to isolate any gene sequence from any organism, provided the appropriate probe is available. Oligonucleotide probes, which correspond to a part of the sequence encoding the protein in question, can be synthesized chemically. 25 This requires that short, oligopeptide stretches of amino acid sequence must be known. The DNA sequence encoding the protein can be deduced from the genetic code, however, the degeneracy of the code must be taken into account. It is possible to perform a mixed addition reaction when the sequence is degenerate. This includes a heterogeneous mixture of denatured 30 double-stranded DNA. For such screening, hybridization is preferably

-10-

- performed on either single-stranded DNA or denatured double-stranded DNA. Hybridization is particularly useful in the detection of cDNA clones derived from sources where an extremely low amount of mRNA sequences relating to the polypeptide of interest are present. In other words, by using stringent hybridization conditions directed to avoid non-specific binding, it is possible, for example, to allow the autoradiographic visualization of a specific cDNA clone by the hybridization of the target DNA to that single probe in the mixture which is its complete complement (Wallace, et al., *Nucl. Acid Res.*, 9:879, 1981).
- Therefore, given a partial DNA sequence of the gene of interest, one of skill in the art would be able to prepare probes for isolation of a full length cDNA clone, without undue experimentation (see for example, Ausubel, et al., *Current Protocols in Molecular Biology*, Units 6.3-6.4, Greene Publ., 1994; Maniatis, et al., *Molecular Cloning*, Cold Spring Harbor Laboratories, 1982).
- The development of specific DNA sequences encoding GDF-12 can also be obtained by: 1) isolation of double-stranded DNA sequences from the genomic DNA; 2) chemical manufacture of a DNA sequence to provide the necessary codons for the polypeptide of interest; and 3) *in vitro* synthesis of a double-stranded DNA sequence by reverse transcription of mRNA isolated from a eukaryotic donor cell. In the latter case, a double-stranded DNA complement of mRNA is eventually formed which is generally referred to as cDNA.
- Of the three above-noted methods for developing specific DNA sequences for use in recombinant procedures, the isolation of genomic DNA isolates is the least common. This is especially true when it is desirable to obtain the microbial expression of mammalian polypeptides due to the presence of introns.
- The synthesis of DNA sequences is frequently the method of choice when the entire sequence of amino acid residues of the desired polypeptide product is

known. When the entire sequence of amino acid residues of the desired polypeptide is not known, the direct synthesis of DNA sequences is not possible and the method of choice is the synthesis of cDNA sequences. Among the standard procedures for isolating cDNA sequences of interest is  
5 the formation of plasmid- or phage-carrying cDNA libraries which are derived from reverse transcription of mRNA which is abundant in donor cells that have a high level of genetic expression. When used in combination with polymerase chain reaction technology, even rare expression products can be cloned. In those cases where significant portions of the amino acid sequence  
10 of the polypeptide are known, the production of labeled single or double-stranded DNA or RNA probe sequences duplicating a sequence putatively present in the target cDNA may be employed in DNA/DNA hybridization procedures which are carried out on cloned copies of the cDNA which have been denatured into a single-stranded form (Jay, et al., *Nucl. Acid Res.*,  
15 11:2325, 1983).

A cDNA expression library, such as lambda gt11, can be screened indirectly for GDF-12 peptides having at least one epitope, using antibodies specific for GDF-12. Such antibodies can be either polyclonally or monoclonally derived and used to detect expression product indicative of the presence of GDF-12  
20 cDNA.

DNA sequences encoding GDF-12 can be expressed *in vitro* by DNA transfer into a suitable host cell. "Host cells" are cells in which a vector can be propagated and its DNA expressed. The term also includes any progeny of the subject host cell. It is understood that all progeny may not be identical to  
25 the parental cell since there may be mutations that occur during replication. However, such progeny are included when the term "host cell" is used. Methods of stable transfer, meaning that the foreign DNA is continuously maintained in the host, are known in the art.

-12-

In the present invention, the GDF-12 polynucleotide sequences may be inserted into a recombinant expression vector. The term "recombinant expression vector" refers to a plasmid, virus or other vehicle known in the art that has been manipulated by insertion or incorporation of the GDF-12 genetic sequences. Such expression vectors contain a promoter sequence which facilitates the efficient transcription of the inserted genetic sequence of the host. The expression vector typically contains an origin of replication, a promoter, as well as specific genes which allow phenotypic selection of the transformed cells. Vectors suitable for use in the present invention include, but are not limited to the T7-based expression vector for expression in bacteria (Rosenberg, et al., *Gene*, 56:125, 1987), the pMSXND expression vector for expression in mammalian cells (Lee and Nathans, *J. Biol. Chem.*, 263:3521, 1988) and baculovirus-derived vectors for expression in insect cells. The DNA segment can be present in the vector operably linked to regulatory elements, for example, a promoter (e.g., T7, metallothionein I, or polyhedrin promoters).

Polynucleotide sequences encoding GDF-12 can be expressed in either prokaryotes or eukaryotes. Hosts can include microbial, yeast, insect and mammalian organisms. Methods of expressing DNA sequences having eukaryotic or viral sequences in prokaryotes are well known in the art. Biologically functional viral and plasmid DNA vectors capable of expression and replication in a host are known in the art. Such vectors are used to incorporate DNA sequences of the invention. Preferably, the mature C-terminal region of GDF-12 is expressed from a cDNA clone containing the entire coding sequence of GDF-12. Alternatively, the C-terminal portion of GDF-12 can be expressed as a fusion protein with the pro- region of another member of the TGF- $\beta$  family or co-expressed with another pro- region (see for example, Hammonds, et al., *Molec. Endocrin.* 5:149, 1991; Gray, A., and Mason, A., *Science*, 247:1328, 1990).

-13-

Transformation of a host cell with recombinant DNA may be carried out by conventional techniques as are well known to those skilled in the art. Where the host is prokaryotic, such as *E. coli*, competent cells which are capable of DNA uptake can be prepared from cells harvested after exponential growth 5 phase and subsequently treated by the CaCl<sub>2</sub> method using procedures well known in the art. Alternatively, MgCl<sub>2</sub> or RbCl can be used. Transformation can also be performed after forming a protoplast of the host cell if desired.

When the host is a eukaryote, such methods of transfection of DNA as calcium phosphate co-precipitates, conventional mechanical procedures such 10 as microinjection, electroporation, insertion of a plasmid encased in liposomes, or virus vectors may be used. Eukaryotic cells can also be cotransformed with DNA sequences encoding the GDF-12 of the invention, and a second foreign DNA molecule encoding a selectable phenotype, such 15 as the herpes simplex thymidine kinase gene. Another method is to use a eukaryotic viral vector, such as simian virus 40 (SV40) or bovine papilloma virus, to transiently infect or transform eukaryotic cells and express the protein. (see for example, *Eukaryotic Viral Vectors*, Cold Spring Harbor Laboratory, Gluzman ed., 1982).

Isolation and purification of microbial expressed polypeptide, or fragments 20 thereof, provided by the invention, may be carried out by conventional means including preparative chromatography and immunological separations involving monoclonal or polyclonal antibodies.

The GDF-12 polypeptides of the invention can also be used to produce 25 antibodies which are immunoreactive or bind to epitopes of the GDF-12 polypeptides. Antibody which consists essentially of pooled monoclonal antibodies with different epitopic specificities, as well as distinct monoclonal antibody preparations are provided. Monoclonal antibodies are made from antigen containing fragments of the protein by methods well known in the art

-14-

(Kohler, et al., *Nature*, 256:495, 1975; *Current Protocols in Molecular Biology*, Ausubel, et al., ed., 1989).

The term "antibody" as used in this invention includes intact molecules as well as fragments thereof, such as Fab, F(ab')<sub>2</sub>, and Fv which are capable of binding the epitopic determinant. These antibody fragments retain some ability to selectively bind with its antigen or receptor and are defined as follows:

- (1) Fab, the fragment which contains a monovalent antigen-binding fragment of an antibody molecule can be produced by digestion of whole antibody with the enzyme papain to yield an intact light chain and a portion of one heavy chain;
- (2) Fab', the fragment of an antibody molecule can be obtained by treating whole antibody with pepsin, followed by reduction, to yield an intact light chain and a portion of the heavy chain; two Fab' fragments are obtained per antibody molecule;
- (3) (Fab')<sub>2</sub>, the fragment of the antibody that can be obtained by treating whole antibody with the enzyme pepsin without subsequent reduction; F(ab')<sub>2</sub> is a dimer of two Fab' fragments held together by two disulfide bonds;
- (4) Fv, defined as a genetically engineered fragment containing the variable region of the light chain and the variable region of the heavy chain expressed as two chains; and

-15-

- (5) Single chain antibody ("SCA"), defined as a genetically engineered molecule containing the variable region of the light chain, the variable region of the heavy chain, linked by a suitable polypeptide linker as a genetically fused single chain molecule.
- 5 Methods of making these fragments are known in the art. (See for example, Harlow and Lane, *Antibodies: A Laboratory Manual*, Cold Spring Harbor Laboratory, New York (1988), incorporated herein by reference).

As used in this invention, the term "epitope" means any antigenic determinant on an antigen to which the paratope of an antibody binds. Epitopic determinants usually consist of chemically active surface groupings of molecules such as amino acids or sugar side chains and usually have specific three dimensional structural characteristics, as well as specific charge characteristics.

10 Antibodies which bind to the GDF-12 polypeptide of the invention can be prepared using an intact polypeptide or fragments containing small peptides of interest as the immunizing antigen. The polypeptide or a peptide used to immunize an animal can be derived from translated cDNA or chemical synthesis which can be conjugated to a carrier protein, if desired. Such commonly used carriers which are chemically coupled to the peptide include 15 keyhole limpet hemocyanin (KLH), thyroglobulin, bovine serum albumin (BSA), and tetanus toxoid. The coupled peptide is then used to immunize the animal (e.g., a mouse, a rat, or a rabbit).

20 If desired, polyclonal or monoclonal antibodies can be further purified, for example, by binding to and elution from a matrix to which the polypeptide or a peptide to which the antibodies were raised is bound. Those of skill in the art will know of various techniques common in the immunology arts for purification and/or concentration of polyclonal antibodies, as well as monoclo-

nal antibodies (See for example, Coligan, et al., Unit 9, *Current Protocols in Immunology*, Wiley Interscience, 1991, incorporated by reference).

It is also possible to use the anti-idiotype technology to produce monoclonal antibodies which mimic an epitope. For example, an anti-idiotypic monoclonal antibody made to a first monoclonal antibody will have a binding domain in the hypervariable region which is the "image" of the epitope bound by the first monoclonal antibody.

The term "cell-proliferative disorder" denotes malignant as well as non-malignant cell populations which often appear to differ from the surrounding tissue both morphologically and genetically. Malignant cells (i.e. cancer) develop as a result of a multistep process. The GDF-12 polynucleotide that is an antisense molecule is useful in treating malignancies of the various organ systems, particularly, for example, cells in liver tissue. Essentially, any disorder which is etiologically linked to altered expression of GDF-12 could be considered susceptible to treatment with a GDF-12 suppressing reagent. One such disorder is a malignant cell proliferative disorder, for example.

The invention provides a method for detecting a cell proliferative disorder of muscle or adipose tissue which comprises contacting an anti-GDF-12 antibody with a cell suspected of having a GDF-12 associated disorder and detecting binding to the antibody. The antibody reactive with GDF-12 is labeled with a compound which allows detection of binding to GDF-12. For purposes of the invention, an antibody specific for GDF-12 polypeptide may be used to detect the level of GDF-12 in biological fluids and tissues. Any specimen containing a detectable amount of antigen can be used. A preferred sample of this invention is liver tissue. The level of GDF-12 in the suspect cell can be compared with the level in a normal cell to determine whether the subject has a GDF-12-associated cell proliferative disorder. Preferably the subject is human.

-17-

- The antibodies of the invention can be used in any subject in which it is desirable to administer *in vitro* or *in vivo* immunodiagnosis or immunotherapy. The antibodies of the invention are suited for use, for example, in immunoassays in which they can be utilized in liquid phase or bound to a solid phase carrier. In addition, the antibodies in these immunoassays can be detectably labeled in various ways. Examples of types of immunoassays which can utilize antibodies of the invention are competitive and non-competitive immunoassays in either a direct or indirect format. Examples of such immunoassays are the radioimmunoassay (RIA) and the sandwich (immunometric) assay. Detection of the antigens using the antibodies of the invention can be done utilizing immunoassays which are run in either the forward, reverse, or simultaneous modes, including immunohistochemical assays on physiological samples. Those of skill in the art will know, or can readily discern, other immunoassay formats without undue experimentation.
- 10
- 15 The antibodies of the invention can be bound to many different carriers and used to detect the presence of an antigen comprising the polypeptide of the invention. Examples of well-known carriers include glass, polystyrene, polypropylene, polyethylene, dextran, nylon, amyloses, natural and modified celluloses, polyacrylamides, agaroses and magnetite. The nature of the carrier can be either soluble or insoluble for purposes of the invention. Those skilled in the art will know of other suitable carriers for binding antibodies, or 20 will be able to ascertain such, using routine experimentation.

There are many different labels and methods of labeling known to those of ordinary skill in the art. Examples of the types of labels which can be used in the present invention include enzymes, radioisotopes, fluorescent compounds, colloidal metals, chemiluminescent compounds, phosphorescent compounds, and bioluminescent compounds. Those of ordinary skill in the art will know of other suitable labels for binding to the antibody, or will be able to ascertain such, using routine experimentation.

SEARCHED  
INDEXED  
MAILED  
FILED

Another technique which may also result in greater sensitivity consists of coupling the antibodies to low molecular weight haptens. These haptens can then be specifically detected by means of a second reaction. For example, it is common to use such haptens as biotin, which reacts with avidin, or 5 dinitrophenyl, puridoxal, and fluorescein, which can react with specific anti-hapten antibodies.

In using the monoclonal antibodies of the invention for the *in vivo* detection of antigen, the detectably labeled antibody is given a dose which is diagnostically effective. The term "diagnostically effective" means that the amount of 10 detectably labeled monoclonal antibody is administered in sufficient quantity to enable detection of the site having the antigen comprising a polypeptide of the invention for which the monoclonal antibodies are specific.

The concentration of detectably labeled monoclonal antibody which is administered should be sufficient such that the binding to those cells having 15 the polypeptide is detectable compared to the background. Further, it is desirable that the detectably labeled monoclonal antibody be rapidly cleared from the circulatory system in order to give the best target-to-background signal ratio.

As a rule, the dosage of detectably labeled monoclonal antibody for *in vivo* diagnosis will vary depending on such factors as age, sex, and extent of 20 disease of the individual. Such dosages may vary, for example, depending on whether multiple injections are given, antigenic burden, and other factors known to those of skill in the art.

For *in vivo* diagnostic imaging, the type of detection instrument available is a 25 major factor in selecting a given radioisotope. The radioisotope chosen must have a type of decay which is detectable for a given type of instrument. Still another important factor in selecting a radioisotope for *in vivo* diagnosis is that deleterious radiation with respect to the host is minimized. Ideally, a radio-

-19-

isotope used for *in vivo* imaging will lack a particle emission, but produce a large number of photons in the 140-250 keV range, which may readily be detected by conventional gamma cameras.

For *in vivo* diagnosis radioisotopes may be bound to immunoglobulin either directly or indirectly by using an intermediate functional group. Intermediate functional groups which often are used to bind radioisotopes which exist as metallic ions to immunoglobulins are the bifunctional chelating agents such as diethylenetriaminepentacetic acid (DTPA) and ethylenediaminetetraacetic acid (EDTA) and similar molecules. Typical examples of metallic ions which can be bound to the monoclonal antibodies of the invention are  $^{111}\text{In}$ ,  $^{97}\text{Ru}$ ,  $^{67}\text{Ga}$ ,  $^{68}\text{Ga}$ ,  $^{72}\text{As}$ ,  $^{89}\text{Zr}$ , and  $^{201}\text{Tl}$ .

The monoclonal antibodies of the invention can also be labeled with a paramagnetic isotope for purposes of *in vivo* diagnosis, as in magnetic resonance imaging (MRI) or electron spin resonance (ESR). In general, any conventional method for visualizing diagnostic imaging can be utilized. Usually gamma and positron emitting radioisotopes are used for camera imaging and paramagnetic isotopes for MRI. Elements which are particularly useful in such techniques include  $^{157}\text{Gd}$ ,  $^{55}\text{Mn}$ ,  $^{162}\text{Dy}$ ,  $^{52}\text{Cr}$ , and  $^{56}\text{Fe}$ .

The monoclonal antibodies of the invention can be used *in vitro* and *in vivo* to monitor the course of amelioration of a GDF-12-associated disease in a subject. Thus, for example, by measuring the increase or decrease in the number of cells expressing antigen comprising a polypeptide of the invention or changes in the concentration of such antigen present in various body fluids, it would be possible to determine whether a particular therapeutic regimen aimed at ameliorating the GDF-12-associated disease is effective. The term "ameliorate" denotes a lessening of the detrimental effect of the GDF-12-associated disease in the subject receiving therapy.

-20-

The present invention identifies a nucleotide sequence that can be expressed in an altered manner as compared to expression in a normal cell, therefore it is possible to design appropriate therapeutic or diagnostic techniques directed to this sequence. Thus, where a cell-proliferative disorder is associated with 5 the expression of GDF-12, nucleic acid sequences that interfere with GDF-12 expression at the translational level can be used. This approach utilizes, for example, antisense nucleic acid and ribozymes to block translation of a specific GDF-12 mRNA, either by masking that mRNA with an antisense nucleic acid or by cleaving it with a ribozyme. Such disorders include liver 10 diseases, for example.

Antisense nucleic acids are DNA or RNA molecules that are complementary to at least a portion of a specific mRNA molecule (Weintraub, *Scientific American*, 262:40, 1990). In the cell, the antisense nucleic acids hybridize to the corresponding mRNA, forming a double-stranded molecule. The 15 antisense nucleic acids interfere with the translation of the mRNA, since the cell will not translate a mRNA that is double-stranded. Antisense oligomers of about 15 nucleotides are preferred, since they are easily synthesized and are less likely to cause problems than larger molecules when introduced into the target GDF-12-producing cell. The use of antisense methods to inhibit the 20 *in vitro* translation of genes is well known in the art (Marcus-Sakura, *Anal.Biochem.*, 172:289, 1988).

Ribozymes are RNA molecules possessing the ability to specifically cleave other single-stranded RNA in a manner analogous to DNA restriction endonucleases. Through the modification of nucleotide sequences which 25 encode these RNAs, it is possible to engineer molecules that recognize specific nucleotide sequences in an RNA molecule and cleave it (Cech, *J.Amer.Med. Assn.*, 260:3030, 1988). A major advantage of this approach is that, because they are sequence-specific, only mRNAs with particular sequences are inactivated.

-21-

- There are two basic types of ribozymes namely, *tetrahymena*-type (Hasselhoff, *Nature*, 334:585, 1988) and "hammerhead"-type. *Tetrahymena*-type ribozymes recognize sequences which are four bases in length, while "hammerhead"-type ribozymes recognize base sequences 11-18 bases in length. The longer the recognition sequence, the greater the likelihood that the sequence will occur exclusively in the target mRNA species. Consequently, hammerhead-type ribozymes are preferable to *tetrahymena*-type ribozymes for inactivating a specific mRNA species and 18-based recognition sequences are preferable to shorter recognition sequences.
- 5
- 10 The present invention also provides gene therapy for the treatment of cell proliferative or immunologic disorders which are mediated by GDF-12 protein. Such therapy would achieve its therapeutic effect by introduction of the GDF-12 antisense polynucleotide into cells having the proliferative disorder. Delivery of antisense GDF-12 polynucleotide can be achieved using a recombinant expression vector such as a chimeric virus or a colloidal dispersion system. Especially preferred for therapeutic delivery of antisense sequences is the use of targeted liposomes.
- 15

Various viral vectors which can be utilized for gene therapy as taught herein include adenovirus, herpes virus, vaccinia, or, preferably, an RNA virus such as a retrovirus. Preferably, the retroviral vector is a derivative of a murine or avian retrovirus. Examples of retroviral vectors in which a single foreign gene can be inserted include, but are not limited to: Moloney murine leukemia virus (MoMuLV), Harvey murine sarcoma virus (HaMuSV), murine mammary tumor virus (MuMTV), and Rous Sarcoma Virus (RSV). A number of additional retroviral vectors can incorporate multiple genes. All of these vectors can transfer or incorporate a gene for a selectable marker so that transduced cells can be identified and generated. By inserting a GDF-12 sequence of interest into the viral vector, along with another gene which encodes the ligand for a receptor on a specific target cell, for example, the vector is now target specific. Retroviral vectors can be made target specific by inserting, for example, a polynucleotide encoding a sugar, a glycolipid, or a protein. Preferred targeting is accomplished by using an antibody to target the retroviral vector. Those of skill in the art will know of, or can readily ascertain without undue experimentation, specific polynucleotide sequences which can be inserted into the retroviral genome to allow target specific delivery of the retroviral vector containing the GDF-12 antisense polynucleotide.

Since recombinant retroviruses are defective, they require assistance in order to produce infectious vector particles. This assistance can be provided, for example, by using helper cell lines that contain plasmids encoding all of the structural genes of the retrovirus under the control of regulatory sequences within the LTR. These plasmids are missing a nucleotide sequence which enables the packaging mechanism to recognize an RNA transcript for encapsidation. Helper cell lines which have deletions of the packaging signal include, but are not limited to  $\Psi$ 2, PA317 and PA12, for example. These cell lines produce empty virions, since no genome is packaged. If a retroviral vector is introduced into such cells in which the packaging signal is intact, but the structural genes are replaced by other genes of interest, the vector can be packaged and vector virion produced.

-23-

Alternatively, NIH 3T3 or other tissue culture cells can be directly transfected with plasmids encoding the retroviral structural genes *gag*, *pol* and *env*, by conventional calcium phosphate transfection. These cells are then transfected with the vector plasmid containing the genes of interest. The  
5 resulting cells release the retroviral vector into the culture medium.

Another targeted delivery system for GDF-12 antisense polynucleotides is a colloidal dispersion system. Colloidal dispersion systems include macromolecule complexes, nanocapsules, microspheres, beads, and lipid-based systems including oil-in-water emulsions, micelles, mixed micelles, and liposomes. The  
10 preferred colloidal system of this invention is a liposome. Liposomes are artificial membrane vesicles which are useful as delivery vehicles *in vitro* and *in vivo*. It has been shown that large unilamellar vesicles (LUV), which range in size from 0.2-4.0  $\mu\text{m}$  can encapsulate a substantial percentage of an aqueous buffer containing large macromolecules. RNA, DNA and intact  
15 virions can be encapsulated within the aqueous interior and be delivered to cells in a biologically active form (Fraley, et al., *Trends Biochem. Sci.*, 6:77, 1981). In addition to mammalian cells, liposomes have been used for delivery of polynucleotides in plant, yeast and bacterial cells. In order for a liposome to be an efficient gene transfer vehicle, the following characteristics should be  
20 present: (1) encapsulation of the genes of interest at high efficiency while not compromising their biological activity; (2) preferential and substantial binding to a target cell in comparison to non-target cells; (3) delivery of the aqueous contents of the vesicle to the target cell cytoplasm at high efficiency; and (4) accurate and effective expression of genetic information (Mannino, et al.,  
25 *Biotechniques*, 6:682, 1988).

The composition of the liposome is usually a combination of phospholipids, particularly high-phase-transition-temperature phospholipids, usually in combination with steroids, especially cholesterol. Other phospholipids or other lipids may also be used. The physical characteristics of liposomes depend on  
30 pH, ionic strength, and the presence of divalent cations.

-24-

Examples of lipids useful in liposome production include phosphatidyl compounds, such as phosphatidylglycerol, phosphatidylcholine, phosphatidylserine, phosphatidylethanolamine, sphingolipids, cerebrosides, and gangliosides. Particularly useful are diacylphosphatidylglycerols, where  
5 the lipid moiety contains from 14-18 carbon atoms, particularly from 16-18 carbon atoms, and is saturated. Illustrative phospholipids include egg phosphatidylcholine, dipalmitoylphosphatidylcholine and distearoylphosphatidylcholine.

The targeting of liposomes can be classified based on anatomical and  
10 mechanistic factors. Anatomical classification is based on the level of selectivity, for example, organ-specific, cell-specific, and organelle-specific. Mechanistic targeting can be distinguished based upon whether it is passive or active. Passive targeting utilizes the natural tendency of liposomes to distribute to cells of the reticulo-endothelial system (RES) in organs which  
15 contain sinusoidal capillaries. Active targeting, on the other hand, involves alteration of the liposome by coupling the liposome to a specific ligand such as a monoclonal antibody, sugar, glycolipid, or protein, or by changing the composition or size of the liposome in order to achieve targeting to organs and cell types other than the naturally occurring sites of localization.  
  
20 The surface of the targeted delivery system may be modified in a variety of ways. In the case of a liposomal targeted delivery system, lipid groups can be incorporated into the lipid bilayer of the liposome in order to maintain the targeting ligand in stable association with the liposomal bilayer. Various linking groups can be used for joining the lipid chains to the targeting ligand.  
  
25 Due to the expression of GDF-12 in liver tissue, there are a variety of applications using the polypeptide, polynucleotide, and antibodies of the invention, related to these tissues. Such applications include treatment of cell proliferative disorders involving this tissue. In addition, GDF-12 may be useful in various gene therapy procedures.

-25-

The following examples are intended to illustrate but not limit the invention. While they are typical of those that might be used, other procedures known to those skilled in the art may alternatively be used.

## **EXAMPLE 1**

5 **IDENTIFICATION AND ISOLATION OF A NOVEL  
TGF- $\beta$  FAMILY MEMBER**

To identify novel members of the TGF- $\beta$  superfamily, degenerate oligonucleotides were designed which corresponded to two conserved regions among the known family members: one region spanning the two tryptophan residues conserved in most family members and the other region spanning the invariant cysteine residues near the C-terminus. These primers were used for polymerase chain reactions on cDNA synthesized from RNA prepared from whole mouse embryos isolated at day 18.5 of gestation. PCR products were subcloned using restriction sites placed at the 5' ends of the primers, and individual bacterial colonies carrying subcloned inserts were screened by a combination of random sequencing and hybridization analysis to eliminate known members of the superfamily.

GDF-12 was identified from a mixture of PCR products obtained with combinations of primer:

20 SJL218: 5'- CCGGAATTCTGGITGG(C/A)G(G/A/T/C)(G/C/A)ATGG  
(A/G)TI(A/G)TITA(T/C)CC (SEQ ID NO:1)

with each of the following 9 primers:

SJL188: 5'- CCGGAATTCA(A/G)CA(C/G)C(A/G)CA(C/T)  
(G/A/T/C)(T/A)CA(C/G/A)(T/C)CAT-3' (SEQ ID NO:2)

25 SJL190: 5'- CCGGAATTC(A/G)CAI(C/G)C(A/G)CAIT(C/G)  
(G/A/T/C)(C/T)GIAC(G/A)(T/C)CAT-3' (SEQ ID NO:3)

-26-

- SJL191: 5' - CCGGAATTC(A/G)CAI(C/G)C(A/G)CAIT  
(C/G)(G/A/T/C)(T/A)CIACI(G/A)(T/C)CAT-3' (SEQ ID NO:4)
- SJL192: 5' - CCGGAATTC(A/G)CAI(C/G)C(A/G)CAIT(C/G)  
(G/A/T/C)(C/G/T)TIACI(G/A)(T/C)CAT-3' (SEQ ID NO:5)
- 5 SJL193: 5' - CCGGAATTC(A/G)CAI(C/G)C(A/G)CAIG  
(A/C)(G/A/T/C)(C/T)GIACI(G/A)(T/C)CAT-3' (SEQ ID NO:6)
- SJL194: 5' - CCGGAATTC(A/G)CAI(C/G)C(A/G)CAIG  
(A/C)(G/A/T/C)(T/A)CIACI(G/A)(T/C)CAT-3' (SEQ ID NO:7)
- SJL196: 5' - CCGGAATTC(A/G)CAI(C/G)C(A/G)CAI(A/C)G  
(G/A/T/C)(C/T)GIACI(G/A)(T/C)CAT-3' (SEQ ID NO:8)
- 10 SJL197: 5' - CCGGAATTC(A/G)CAI(C/G)C(A/G)CAI  
(A/C)G(G/A/T/C)(T/A)CIACI(G/A)(T/C)CAT-3' (SEQ ID NO:9)
- SJL198: 5' - CCGGAATTC(A/G)CAI(C/G)C(A/G)CAI(A/C)G  
(G/A/T/C)(C/G/T)TIACI(G/A)(T/C)CAT-3' (SEQ ID NO:10)
- 15 PCR using each of these primer combinations was carried out with cDNA prepared from 0.4 $\mu$ g poly A-selected RNA; reactions were carried out at 94°C for 1 minute, 50°C for 2 minutes, and 72°C for 2 minutes for 40 cycles.

PCR products of approximately 280 base pairs were gel purified, digested with EcoRI, gel purified again, and subcloned into the Bluescript vector (Stratagene, San Diego, CA). Bacterial colonies carrying individual subclones were picked into 96 well microtiter plates, and multiple replicas were prepared by plating the cells onto nitrocellulose. The replicate filters were hybridized to probes representing known members of the family, and DNA was prepared from non-hybridizing colonies for sequence analysis.

20 25 Among the colonies analyzed in this manner was one that represented a novel sequence, which was designated GDF-12. This murine sequence was subsequently used to analyze expression patterns and to isolate a human cDNA clone (see below).

-27-

EXAMPLE 2  
EXPRESSION OF GDF-12

To determine the expression pattern of GDF-12, RNA samples prepared from a variety of adult tissues were screened by Northern analysis. RNA isolation and Northern analysis were carried out as described previously (Lee, S.-J., 5 *Mol. Endocrinol.*, 4:1034, 1990) except that hybridization was carried out in 5X SSPE, 10% dextran sulfate, 50% formamide, 1% SDS, 200 µg/ml salmon DNA, and 0.1% each of bovine serum albumin, ficoll, and polyvinylpyrrolidone. Five micrograms of twice poly A-selected RNA prepared from each tissue 10 were electrophoresed on formaldehyde gels, blotted, and probed with GDF-12. As shown in FIGURE 1, the GDF-12 probe detected a single mRNA species approximately 2.8 and 1.9 kb in length, in adult liver.

EXAMPLE 3  
ISOLATION OF cDNA CLONES ENCODING GDF-12

15 In order to isolate cDNA clones encoding GDF-11, a cDNA library was prepared in the lambda ZAP II vector (Stratagene) using RNA prepared from human adult liver. From 5 µg of twice poly A-selected RNA prepared from human spleen, a cDNA library consisting of 20 million recombinant phage was constructed according to the instructions provided by Stratagene. A portion 20 of this library was screened without amplification using the murine GDF-12 PCR product as a probe. Library screening and characterization of cDNA inserts were carried out as described previously (Lee, *Mol. Endocrinol.*, 4:1034, 1990), except that the final wash was carried out in 2xSSC.

Partial sequence analysis of the first isolated clone showed that it contained the entire coding sequence of GDF-12. A portion of the nucleotide and predicted amino acid sequence of this clone is shown in FIGURE 2 and SEQ ID NOs: 11 and 12. The sequence begins with a putative proteolytic cleavage site which is followed by a C-terminal region of 114 amino acids. The active C-terminal fragment is predicted to have a molecular weight of approximately 12,500.

The entire nucleotide sequence of the longest human GDF-12 cDNA clone isolated is shown in FIGURE 3 and SEQ ID NO:13. The 2419 base pair sequence contains a single long open reading frame beginning with a methionine codon at nucleotides 218-220 and extending for 350 codons. The sequence contains an in-frame stop codon upstream of the putative initiating methionine. The predicted amino acid sequence (SEQ ID NO:14) contains a stretch of hydrophobic amino acids near the N-terminus suggestive of a signal sequence for secretion, one potential N-linked glycosylation site at amino acids 232-236 (box). The C-terminal region following the putative processing site (shaded box) contains all of the hallmarks present in other TGF- $\beta$  family members (see above).

The C-terminal region following the predicted cleavage site contains all the hallmarks present in other TGF- $\beta$  family members. GDF-12 contains most of the residues that are highly conserved in other family members, including the seven cysteine residues with their characteristic spacing. Like the TGF- $\beta$ 's, and the inhibin  $\beta$ 's, GDF-12 also contains two additional cysteine residues. In the case of TGF- $\beta$ 2, these additional cysteine residues are known to form an intramolecular disulfide bond (Daopin, *et al.*, *Science*, 257:369, 1992; Schlunegger and Grutter, *Nature*, 358:430, 1992). A tabulation of the amino acid sequence homologies between GDF-12 and the other TGF- $\beta$  family members is shown in FIGURE 4. Numbers represent percent amino acid identities between each pair calculated from the first conserved cysteine to the C-terminus.

-29-

Although the invention has been described with reference to the presently preferred embodiment, it should be understood that various modifications can be made without departing from the spirit of the invention. Accordingly, the invention is limited only by the following claims.

SEARCHED  
SERIALIZED  
INDEXED  
FILED